

Form 210A (10/06)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re **Lehman Brothers Holdings, Inc.**

Case No. **08-13555**

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

VonWin Capital Management, LP

Name of Transferor

River Capital Advisors, Inc.

Name and Address where notices to Transferee should be sent:

By: VonWin Capital, LLC its general Partner
261 Fifth Avenue, 22nd Floor
New York, NY 10016
Attn: Roger von Spiegel
Tel: 212-889-1601
Email: cw@vonwincapital.com

Court Claim# (if Known): **4346**

Amount of Claim: **\$419,096.00**

Date Claim Filed: **5/14/09**

Debtor: **Lehman Brothers Holdings, Inc.**

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ Michael Wunschuh
Transferee/Transferee's Agent

Date: March 27, 2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:

Ch-11 LEHMAN BROTHERS HOLDINGS, INC.

DEBTOR

Case No. 08-13555

Chapter 11

NOTICE OF TRANSFER OF CLAIMS
PURSUANT TO RULE 3001(e)

PLEASE TAKE NOTICE that any and all Claims of River Capital Advisors, Inc. ("Seller") that are scheduled by the Debtor(s) and or filed as an original or amended Proof of Claims against the Debtor(s), including but not limited to the following:

Proof of Claims Amount	Proof of Claims No.
\$419,096.00	4346

have been transferred and assigned to VonWin Capital Management, L.P. ("Buyer"). The signature of Seller on this document is evidence of the transfer of the Claims and all rights thereto.

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Buyer herein as the valid owner of the Claims. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Claims to the Buyer.

BUYER: VonWin Capital Management, L.P.

By: VonWin Capital, LLC, its general partner

Address: 261 Fifth Avenue, 22nd Floor

New York, NY 10016

Signature:

Name: Michael Winschuh

Title: Managing Member

Date:

SELLER: River Capital Advisors, Inc.

Address: DLA Piper LLP (US)

c/o James R. Irving

203 North LaSalle Street, Suite

1900

Chicago, IL 60601

Signature:

Name:

Title:

Date:

Randy King
RANDY KING
VICE PRESIDENT
3/27/13

Form 210A (10/06)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re **Lehman Brothers Holdings, Inc.**

Case No. **08-13555**

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

VonWin Capital Management, LP

Name of Transferor

River Capital Advisors, Inc.

Name and Address where notices to Transferee should be sent:

By: VonWin Capital, LLC its general Partner
261 Fifth Avenue, 22nd Floor
New York, NY 10016
Attn: Roger von Spiegel
Tel: 212-889-1601
Email: cw@vonwincapital.com

Court Claim# (if Known): **4347**

Amount of Claim: **\$419,096.00**

Date Claim Filed: **5/14/09**

Debtor: **Lehman Brothers Special Financing Inc.**

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: /s/ Michael Wunschuh
Transferee/Transferee's Agent

Date: March 27, 2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:

Ch-11 LEHMAN BROTHERS SPECIAL FINANCING
INC.

DEBTOR

Case No. 08-13888

Chapter 11

**NOTICE OF TRANSFER OF CLAIMS
PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that any and all Claims of River Capital Advisors, Inc. ("Seller") that are scheduled by the Debtor(s) and or filed as an original or amended Proof of Claims against the Debtor(s), including but not limited to the following:

Proof of Claims Amount	Proof of Claims No.
\$419,096.00	4347

have been transferred and assigned to VonWin Capital Management, L.P. ("Buyer"). The signature of Seller on this document is evidence of the transfer of the Claims and all rights thereto.

Seller hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Buyer herein as the valid owner of the Claims. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Claims to the Buyer.

BUYER: VonWin Capital Management, L.P.

By: VonWin Capital, LLC, its general partner

Address: 261 Fifth Avenue, 22nd Floor

New York, NY 10016

Signature:

Name: Michael Winschuh

Title: Managing Member

Date:

SELLER: River Capital Advisors, Inc.

Address: DLA Piper LLP (US)

c/o James R. Irving

203 North LaSalle Street, Suite

1900

Chicago, IL 60601

Signature:

Name:

Title:

Date:

Randy King
RANDY KING
VICE PRESIDENT
3/27/13